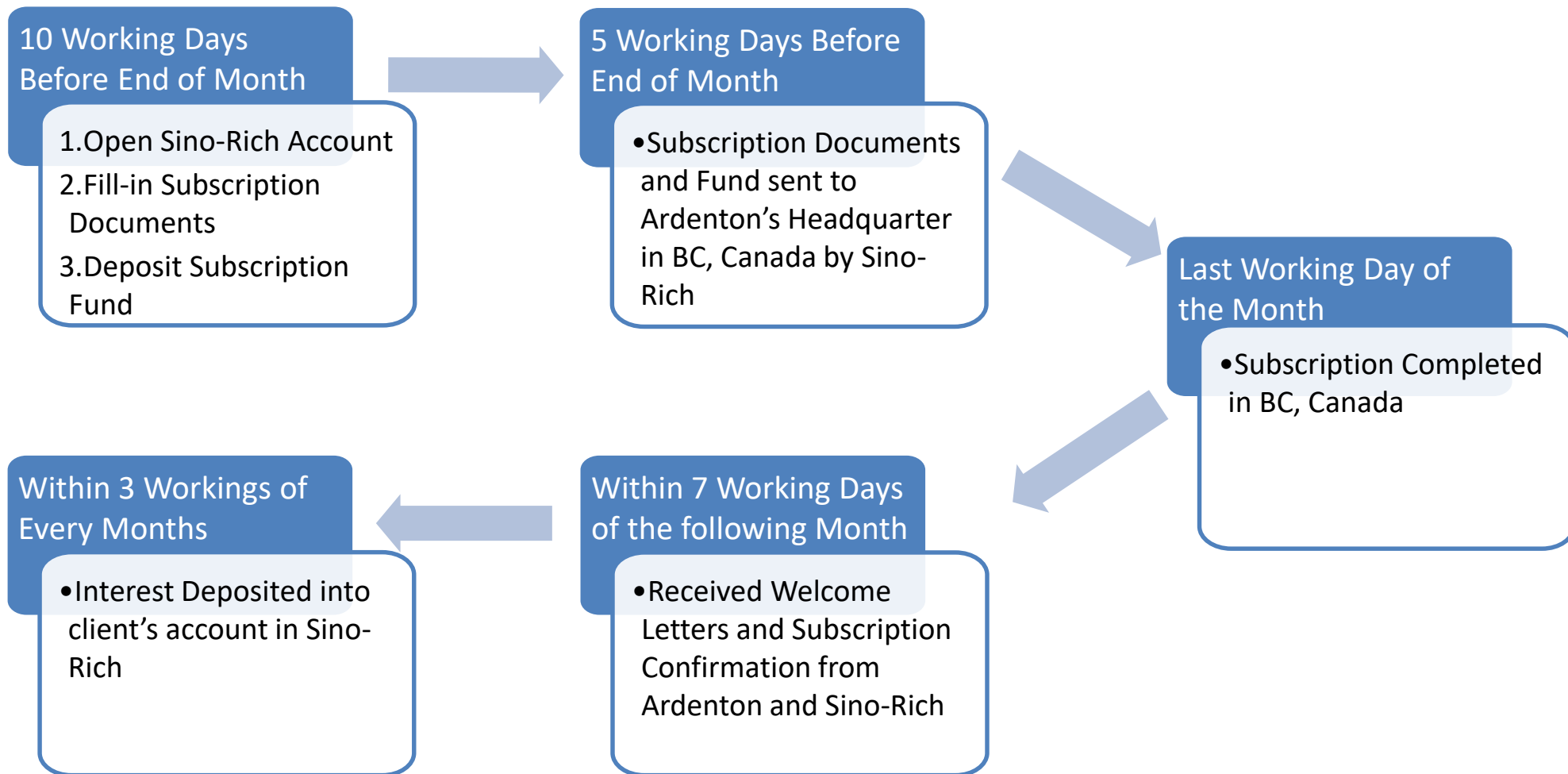


Subscription Procedure



Documents for Account Opening

To be submitted on or before **10 Working Days** preceding End of Month:

Documents for Sino-Rich Account Opening:

C1 – Sino-Rich – Account Opening Form

C2 – Sino-Rich – Commission Table

C3 – Sino-Rich – Professional Investor (PI) Consent Letter

1. Identity Prove Copy
2. Address Prove (Within 3 months)
3. Prove for PI Identity (e.g. Fund verification from bank)

Subscription Documents

To be submitted on or before **10 Working Days** preceding End of Month:

Subscription Documents for Ardenton Products:

a) Subscription for Preferred Securities (HK)

- C4 – Sino-Rich – Third Party Payment Application
- C5a – Sino-Rich – Investment Suitability Assessment Form – Preferred Securities (HK)
- C6a – Sino-Rich – Subscription Arrangement Consent – Preferred Securities (HK)
- C7a – Ardenton Subscription Agreement – Preferred Securities (HK)
- C8a – Appendix (A-D) – Preferred Securities (HK)

b) Subscription for Hybrid Units (HK)

- C4 – Sino-Rich – Third Party Payment Application
- C5b – Sino-Rich – Investment Suitability Assessment Form – Hybrid Units (HK)
- C6b – Sino-Rich – Subscription Arrangement Consent – Hybrid Units (HK)
- C7b – Ardenton Subscription Agreement – Hybrid Units (HK)
- C8b – Appendix (A-D) – Hybrid Units (HK)

Fund Deposit

When the Sino-Rich account is opened, fund can be deposited into the account:

1. Deposit fund into any one of the following bank accounts.

Account Name: Sino-Rich & Securities & Futures Limited (If there is no enough space when you operate online, you can use the simplified version: Sino-Rich Sec & Fut Ltd or ask your bank for help)

2. After depositing funds into Sino-Rich bank account, fax the bank pay-in slip to our company on the same day during office hours (fax no: 852-3101 0247) or email to us at cs@sinorichhk.com and call our customer services hotline at +852 3101 0504 / +86 14714302760 for confirmation.

Bank	Bank Account	Swift Code
Hong Kong Dollar		
HSBC	808-086797-292	HSBCHKHHHKH
Hang Seng Bank	773-223359-669	HASEHKHHXXX
Chiyu Bank	039-730-0-0193276	CIYUHKHHXXX
Standard Chartered Bank	447-108-5061-4	SCBLHKHHXXX
Bank of China	01267610311809	BKCHHKHHXXX
Bank of China FPS	7035918	-
US Dollar		
Standard Chartered Bank	447-078-8673-5	SCBLHKHHXXX