

To: Sino-Rich Securities & Futures Limited ('Sino-Rich') 致中順證券期貨有限公司

**Global Securities Transfer Instruction Form 環球證券交收指示表格**

Account Name 戶口名稱: \_\_\_\_\_

Account Number 戶口號碼: \_\_\_\_\_

Account Executive Name 經紀名稱: \_\_\_\_\_

Client Telephone Number 客戶聯絡電話: \_\_\_\_\_

Application Date 申請日期: \_\_\_\_\_

Requested Settlement Date 要求交收日期: \_\_\_\_\_

Instruction Type 交收指示: ☐ Sino-Rich delivers securities 中順發放股票

☐ Sino-Rich receives securities 中順接收股票

Market 市場: ☐ USA 美國 ☐ Canada 加拿大 ☐ Germany 德國 ☐ Others 其他: \_\_\_\_\_

Payment instruction 付款指示: ☐ ACATS 自動妥帳戶轉帳服務

☐ Free of payment 無須付款

Counterparty's name 對方公司名稱: \_\_\_\_\_

Counterparty's account name 對方戶口名稱: \_\_\_\_\_

Counterparty's account number 對方戶口號碼: \_\_\_\_\_

Counterparty contact person and tel. no. 對方公司聯絡人名稱及聯絡電話: \_\_\_\_\_

DTC Code (US Market) 存托公司結算號碼 (美股市場專用): \_\_\_\_\_

| Stock Code(s) 股票號碼: | ISIN Code 國際編碼 | Stock Name(s) 股票名稱: | No. of Share(s) 股票數目: |
|---------------------|----------------|---------------------|-----------------------|
|                     |                |                     |                       |
|                     |                |                     |                       |
|                     |                |                     |                       |
|                     |                |                     |                       |

I/We confirm that there is no change of beneficial ownership through effecting this settlement instruction. I/We also undertake to indemnify and keep you company indemnified in respect of any costs and expenses, whatsoever which may suffered or incurred by you in connection with this settlement instruction. 本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示而出現變動。本人/吾等承諾就本人交收指示所可能令 貴公司蒙受或承擔的任何費用或開支, 向 貴公司作出彌償。

Client signature(s) 客戶簽署: \_\_\_\_\_

(Company chop is required for corporate client 公司客戶需加蓋公司印章)

Office use only 只供本公司使用

|                           |                |                   |
|---------------------------|----------------|-------------------|
| Signature(s) verified by: | Data input by: | Input checked by: |
| Date:                     | Date:          | Date:             |